



Due Date: July 30, 2001

✓ Sector #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mary Faris et al. Examiner: Not yet assigned  
Serial No.: 09/809,638 Group Art Unit: 1645  
Filed: March 14, 2001 Docket: G&C 129.35-US-01  
Title: 125P5C8: A TISSUE SPECIFIC PROTEIN HIGHLY EXPRESSED IN VARIOUS CANCERS

**CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on July 30, 2001.

By: 

Name: Karen S. Canady

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Preliminary Amendment.
- ☒ Verified statement to establish small entity status.
- ☒ Signed Combined Declaration and Power of Attorney.
- ☒ Form PTO-1533 – Part 2 – Notice to File Missing Parts of Application.
- ☒ PTO-2038 Credit Card Payment Form for the amount of \$906.00 for the filing fee.
- ☒ PTO-2038 Credit Card Payment Form for the amount of \$65.00 for the Surcharge Fee is enclosed herewith.
- ☒ Statement Regarding Sequence Listing Under 37 C.F.R. §§1.821-1.825.
- ☒ Computer readable and paper form of **SEQUENCE LISTING**. Applicants state that the contents of the paper copy form of the **SEQUENCE LISTING** section of the present application, and the computer readable form submitted herewith, are the same.
- ☒ Return postcard.

**CLAIMS PRESENT**

Claims Remaining:	Highest Number Previously Paid For:	Number Extra	Rate	Fee
Basic Filing Fee				\$355.00
Total Claims				
59	0	x	\$9.00	= \$351.00
Independent Claims				
8	0	x	\$40.00	= \$200.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				<b>\$906.00</b>

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

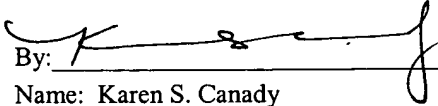
Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

(PTO TRANSMITTAL - GENERAL)

**CUSTOMER NUMBER 22462.**

**GATES & COOPER LLP**

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

By:   
Name: Karen S. Canady  
Reg. No.: 39,927  
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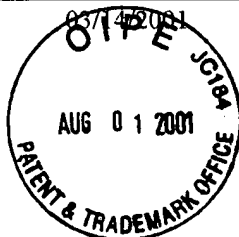
UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/809,638	08/01/2001	Mary Faris	129.35US01

GATES & COOPER LLP  
Howard Hughes Center  
Suite 1050  
6701 Center Drive West  
Los Angeles, CA 90045



CONFIRMATION NO. 5083

## FORMALITIES LETTER



\*OC000000006130454\*

08/03/2001 BHUYEN1 00000017 09809638

01 FC:205

65.00 OP

Date Mailed: 05/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/03/2001 BHUYEN1 00000018 09809638

01 FC:201

355.00 OP

02 FC:203

351.00 OP

03 FC:202

200.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1102.
  - \$702 for 39 total claims over 20.
  - \$400 for 5 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1942.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such

request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE